

STATE OF INDIANA) INDIANA STATE ETHICS COMMISSION
(SS:
COUNTY OF MARION) CASE: 2010-09-0233



IN RE THE MATTER OF SCOTT STORMS
Respondent

ETHICS COMPLAINT

The undersigned alleges and says that Respondent, a former employee of the Indiana Utility Regulatory Commission (IURC), between April of 2010 through September of 2010:

1) Violated IC 4-2-6-9(a) when he participated in a decision or vote in which he had


knowledge that:

- a. he had a financial interest in the outcome of the matter(s), TO WIT: participated in matter(s) involving Duke Energy Indiana, Inc. (Duke) before the IURC when he had a financial interest arising from employment or prospective employment at Duke for which negotiations had begun; or
- b. a person or organization with whom he was negotiating or had an arrangement concerning prospective employment had a financial interest in the outcome of the matter(s); TO WIT: participated in matter(s) involving Duke before the IURC when he was negotiating or had an arrangement concerning prospective employment with Duke, when Duke had a financial interest in the outcome of the matter(s);

OR


- 2) Violated IC 4-2-6-9(b) by failing to notify his appointing authority of a potential conflict of interest and/or seeking an advisory opinion from the State Ethics Commission (SEC) by filing a written description detailing the nature and circumstances of the particular matter and making full disclosure of any related financial interest in the matter.

Dated this 14th day of October, 2010.



Todd Shumaker, Staff Attorney
Office of the Inspector General

Approved by:



David O. Thomas, Inspector General